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PTO/SB/05 (08-00) (modified)

Approved for use through 9/30/2001, OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

NEW UTILITY PATENT APPLICATION TRANSMITTAL <i>(only for new nonprovisional applications under 37 CFR 1.53(b))</i>	Attorney Docket Number	21938-05581
	First Named Inventor	Stanley T. Birchfield
	Title	Acoustic Source Localization System and Method
	Express Mail Label No.	EL482475973US

APPLICATION ELEMENTS	ACCOMPANYING APPLICATION PARTS
1. <input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate)	7. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) ✓
2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27	8. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)
3. <input checked="" type="checkbox"/> Specification Total Pages 41 <i>(preferred arrangement set forth below)</i>	9. <input checked="" type="checkbox"/> Power of Attorney or Authorization of Agent
<input type="checkbox"/> Descriptive Title of the Invention <input type="checkbox"/> Cross Reference(s) to Related Case(s) <input type="checkbox"/> Statement Regarding Fed sponsored R & D <input type="checkbox"/> Background of the Invention <input type="checkbox"/> Brief Summary of the Invention <input type="checkbox"/> Brief Description of the Drawing(s) <input type="checkbox"/> Detailed Description <input type="checkbox"/> Claim or Claims <input type="checkbox"/> Abstract of the Disclosure	10. <input type="checkbox"/> 37 CFR 3.73(b) Statement
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. Total Sheets 14 113)	11. <input checked="" type="checkbox"/> Preliminary Amendment
5. Oath or Declaration	12. <input type="checkbox"/> Information Disclosure Statement & PTO-1449 <input type="checkbox"/> Copies of IDS Citation(s)
a. <input checked="" type="checkbox"/> New Declaration Total Pages 1 <input checked="" type="checkbox"/> Executed (original or copy)	13. <input checked="" type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 18 completed)</i>	14. <input checked="" type="checkbox"/> Return Postcard
i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	15. <input type="checkbox"/>
6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	16. <input type="checkbox"/>
	17. <input type="checkbox"/>
ADDRESS TO: Box Patent Application Commissioner for Patents Washington, D.C. 20231	


18. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in a preliminary amendment or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: ____/____

Prior application information: Examiner: _____ Group/Art Unit: _____

For CONTINUING OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuing or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number and Bar Code Label <div style="text-align: center;">  00758 </div>

Name (Print/Type)	Edward A. Van Gieson	Registration No. (Attorney/Agent)	44,386
Signature	<i>Edward Van Gieson</i>	Date	August 3, 2001

TOTAL AMOUNT OF PAYMENT

Subtotal (1) + Subtotal (2) + Subtotal (3) = **(\$)695.00**

Complete if Known

Application Number	
Filing Date	August 2, 2001
First Named Inventor	Stanley T. Birchfield
Group Art Unit	
Examiner Name	
Attorney Docket Number	21938-05581

FEE CALCULATION (continued)

3. ADDITIONAL FEES

- ☒
- Check
- ☐
- Credit Card
- ☐
- Other

FEE CALCULATION (fees effective 10/01/2000)

1 FILING FEE			
Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
1017/\$710	201/\$355	Utility Filing	355
106/\$320	206/\$160	Design Filing	
108/\$710	208/\$355	Reissue	
114/\$150	214/\$75	Provisional Filing	
SUBTOTAL (1)			(\$)355

2. CLAIMS

<u>Large Entity</u> Fee Code/Fee	<u>Small Entity</u> Fee Code/Fee	Fee Description
103/ \$18	203/ \$9	Claims in excess of 20
102/ \$80	202/ \$40	Independent claims in excess of 3
104/ \$270	204/ \$135	Multiple dependent claim
109/ \$80	209/ \$40	Reissue independent claims over original patent
110/ \$18	210/ \$9	Reissue claims in excess of 20 and over original patent

<u>Large Entity</u> Fee Code/Fee	<u>Small Entity</u> Fee Code/Fee	Fee Description	Fee Due
105/ \$130	205/ \$65	Surcharge - late filing fee or oath	<input type="text"/>
127/ \$50	227/ \$25	Surcharge-late provisional filing fee or cover sheet	<input type="text"/>
147/ \$2,520	147/ \$2,520	For filing a request for reexamination	<input type="text"/>
115/ \$110	215/ \$55	Extension for response within first month†	<input type="text"/>
116/ \$390	216/ \$195	Extension for response within second month†	<input type="text"/>
117/ \$890	217/ \$445	Extension for response within third month†	<input type="text"/>
118/ \$1,390	218/ \$695	Extension for response within fourth month†	<input type="text"/>
128/ \$1,890	228/ \$945	Extension for response within fifth month†	<input type="text"/>
119/ \$310	219/ \$155	Notice of Appeal	<input type="text"/>
141/ \$1,240	241/ \$620	Petition to revive unintentionally abandoned application	<input type="text"/>
142/ \$1,240	242/ \$620	Utility Issue Fee (Or Reissue)	<input type="text"/>
143/ \$440	243/ \$220	Design Issue Fee	<input type="text"/>
122/ \$130	122/ \$130	Petitions to the Commissioner	<input type="text"/>
126/ \$180	126/ \$180	Submission of Information Disclosure Statement	<input type="text"/>
179/ \$710	279/ \$355	Request for Continued Examination (RCE)	<input type="text"/>
581/ \$40	581/ \$40	Recording each patent assignment per property (times number of properties)	40
146/ \$710	246/ \$355	Filing a submission after final rejection (37 CFR 1.129(a))	<input type="text"/>
149/ \$710	249/ \$355	For each additional invention to be examined (37 CFR 1.129(b))	<input type="text"/>
Other fee (specify):		<input type="text"/>	
Other fee (specify):		<input type="text"/>	
SUBTOTAL (3)			(\$40

(Col. 1)		(Col. 2)		(Col. 3)				
For	No. of Existing Claims		Highest No. Previously Paid For		Extra**	Fee	Fee Due	
TOTAL	40	minus*	20 or 0	=	20	x	9	= 180
INDEP	6	minus*	3 or 0	=	3	x	40	= 120
[] First presentation of multiple dependent claim								=

SUBTOTAL (2) **(\$)**300

** If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3

SUBMITTED BY

Typed or Printed Name	Edward A. Van Gieson
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Complete (if applicable)

Reg. Number	44.386
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Signature 

Date August 2, 2001

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i)	First Named Inventor	Stanley T. Birchfield
	Title	Acoustic Source Localization System and Method
	Atty Docket Number	21938-05581

I hereby certify that the invention disclosed in the attached application **has not been and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

August 2, 2001

Date



Signature

Edward A. Van Gieson/44,386

Typed or printed name/Registration Number

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.